Survey Concerning Citation Practices in Intellectual Property Offices

Response ID:105 Data

2. Section 1: Identification page

1. Please enter the ST.3 code of the member state or inter-governmental organization you represent.

NO - Norway

2. Please enter the name of the office or organization you represent. For instance, International Bureau of WIPO.
If your organization name is the same as your ST.3 code name, you may put "n/a".

Norwegian Industrial Property Office

3. Questions about citation references provided by your Office: Sections 2 to 4

Section 2: Citation reference availability

5. Does your Office publish citation references associated with your Office's patent documents?

Yes
Please comment if necessary:

6. Select one option describing the availability, to the public, of your Office's citation references.

Available to the public

7. In which of the following location(s) associated with patent documents, published by your Office, can citation references be found?

Bibliographic data
Please comment on any of the above mentioned locations, if appropriate:

8. If citation references are part of the bibliographic front page information, are they included in INID code (56)?

Yes

9. Beyond the locations listed as options in question 7 above, select the location(s) outside the patent document where citation references can be found:

Separate document
E-Dossier/File wrapper

10. Are hyperlinks included for the above Internet locations?

No
Please comment if necessary:

11. Does your Office give information about a patent document that cites the specific patent document the user is looking at (i.e., a forward citation)?

No

12. Are hyperlinks to the citing document provided?

No
Please comment if necessary:

13. Does your Office follow the recommendations set out in WIPO Standard ST.14 for the identification of citation references?

Yes
Please comment if necessary: Use of standard ST.14 is demanded by local rules

14. Does your Office provide indications, to the general public, informing about who suggested or decided on including the citation references?

Yes

If available, where can indications of who created a citation reference be found by the general public?

Substantive search and/or examination report
Please comment if necessary: All citations are always suggested by the examiner, but in some cases, some prior-art documents mentioned in the application are

cited by the examiner. This is indicated in the search report and in the office action

4. Section 3: Search Report Information

15. Does your Office prepare international search reports (ISRs) in the capacity as an International Searching Authority under the PCT?

Yes

5. Section 3: Search Report Information

16. Do you have quality control on citation references entered on search reports?

Yes (Please provide examples in detail):
Please comment if necessary:: We utilise peer-review for every search report

17. Do your citation references include online URLs?

Sometimes (specify when): Some time, to indicated where internet citations were downloaded from e.g. videos from YouTube or company cites.

18. Are unique document identifiers used, e.g., DOI?

Sometimes (Specify when): Some time, only if no other document identifiers available.

If applicable, which unique document identifiers are used?

Digital Object Identifier (DOI)
ISBN and ISSN

6. Section 3: Search Report Information

19. Does your Office prepare Office-specific national/regional search reports (non PCT)?

Yes

7. Section 3: Search Report Information

20. Do you have quality control on citation references entered on search reports?

Yes (Please provide examples in detail):
Please comment if necessary:: Yes, peer-review for every search report issued and internal quality control

21. Are relevance indicators stated?

Yes

22. Do your citation references include online URLs?

Yes

23. Are unique document identifiers used, e.g., DOI?

Sometimes (Specify when): Some time, when the NPL document is an open-source document

If applicable, which unique document identifiers are used?

Digital Object Identifier (DOI)
Other (please specify): URL/URI

8. Section 3: Search Report Information

24. Please comment on any major differences between the provision of ISR and other search report citation references if any

In National Search report all patent documents are linked to espacenet.org and links to open-source documents can be included.
In International Search Report no links are included. URLs and DOI can be written, but no links are included.

9. Section 4: Centralizing/Tagging Citation References

25. Do you (intend to) add tags to identify citation references?

No

26. Does your Office have a centralized database containing citation reference identification data elements?

No

If possible, please specify any structural elements on which the database is founded, for example:

Is the information in the database technically and legally exchangeable e.g., with other Offices?

27. Are citation references from your Office searchable by the general public?

Yes (please specify the location where the references are searchable e.g., http://www.): "https://search.patentstyret.no/advanced/#/patent, see "citations" or "Mothold" in the left menu.

28. Please add any further comments you may have about any of the questions in section 4 above:

10. Questions about your Office's published documents that may be cited (within a citation reference): Sections 5 to 7

Section 5: Location of published patent documents which can be considered to be an (or the) authentic source

29. Which mediums represents the (or an) authentic legal rendition (authoritative source) of your Office's published patent application?

Website (if multiple website separate each address with a semi- colon ";"): https://search.patentstyret.no/advanced/#/patent; (and link from application dossier to PDF-application publication.)

30. Which mediums represents the (or an) authentic legal rendition (authoritative source) of your IPO's published granted patents?

Website (if multiple website separate each address with a semi- colon ";"): https://search.patentstyret.no/advanced/#/patent and link from patent dossier to PDF-publication.

31. Regarding the medium indicated above, is there one or more (file) formats that should be looked at (in preference to other formats)?

Yes

If yes, please select all formats that should be considered in preference to other formats

.pdf (Adobe)
.xml
.json

32. Is your Office using commercial providers for publishing patent documents?

No

11. Section 6: Availability of location identifiers

33. Which, if any, text- based identifiers do your published patent documents contain:

Page numbers
Line numbers

34. Which, if any, identifiers of non-textual elements occur within the description part of your published patent document:

12. Section 7: Paragraph number details

35. Does your published patent documents include paragraph numbers?

No

13. Section 7: Paragraph number details

Paragraph numbers are typically created by:

Paragraph numbers are recreated:

Describe any special treatment you give to long paragraphs:

Describe how you treat numbered paragraphs that are subsequently amended:

If necessary, please provide additional comment on any part of the questions or answers given above in this section.

14. Section 8: Citing documents based on machine translations

36. Does your Office currently allow to cite machine-translated documents in search or examination reports?

Yes

15. Section 8: Citing documents based on machine translations

37. When citing a document without paragraph numbering, how does your Office identify the relevant passage in the machine translation?

t is most logical to use headings in the description or e.g. "text describing figure X".

38. How does your Office ensure that applicants can access the exact machine translation used by your Office?

Other (Please specify): since we use Ansera based Search (AbS), the machine translation seen in AbS is normally available in espacenet.org. If there is no machine translation available, we send the machine translation to the applicant.

39. Does your Office record or store the machine translation used by the examiner for internal or public reference?

Yes, for internal use only

40. Does your Office have a preferred format for citing machine translations in search or examination reports?

Yes (Please provide example): to indicate the machine translation in both search report and office action, e.g. "see machine translation ….."

41. Has your Office encountered cases where an applicant disputed the relevance of a citation based on a machine translation?

No

42. Should there be a standardized method for referencing the machine translation engine and date of translation in the international search report?

No

43. Should WIPO Standard ST.14 be revised to include recommendation on how references to machine translations should be cited in a patent document?

Yes

16. General questions about Citation Practices: Sections 9-10
Section 9: Examples of citation references published by your Office, if this information is available.

44. If possible, please provide a patent (application) number and a publicly available internet address that contains one or more typical examples of patent and non-patent literature citation references.

Specify application number and kind code, e.g., WO 2005/110961 A1 : NO20210585 B1;
Specify where in the document the citation references can be found, e.g., page 1, lines 19 and 25, search report at end of document : Click on «Citations/Mothold» in the menu on the left.

17. Section 10: Additional comments about citation practices

45. If applicable, please make any further comments, e.g., you may wish to comment on difficult aspects of citation practices for your IPO:

e.g., users are confused about the part of a document a citation reference was referring to : In our office, the citation practice for patent literature is the same as or close to the standard set of in WIPO Standard ST.14. For everything else, the citation practice is not always the same as WIPO Standard ST.14. We recently introduced more examples from the standard into our guidelines in the hope that this will help the examiners. We think that it would be helpful with more real life examples in the standard.